

Risk Assessment Charts

Section A: Animal Risk Factors				
Do you:	YES / Always	Sometimes	NO / Never	Comments / Action Points
Operate as a closed herd?				
<i>If no, do you:</i>				
Isolate new horses for 2-3 weeks?				
Identify zones that are closed to public access?				
Breed by live cover?				
Breed by Artificial Insemination (AI)?				
Test all breeding specimens, either studs or semen, for Contagious Equine Metritis (CEM)?				
Isolate new horses for 2-3 weeks?				
Isolate clinically sick animals?				
Use separate pens for foaling and sick animals? If yes:				
Clean and disinfect foaling pens between births?				
Clean and disinfect sick pens/crates between animals?				
Follow a veterinarian reviewed vaccination program against specific diseases of concern? If yes:				
Vaccine program documented?				
Require all boarders/leases to comply with the vaccine policy?				
Require all facility users to comply with vaccination policy?				
Have a health record for each animal? If yes:				
Document medications, vaccines and dewormer given, when and by whom?				
Record normal vitals for each horse (HR, RR, Temp)				

Do you:	YES / Always	Sometimes	NO / Never	Comments / Action Points
<i>Document all incidences of horse illness to monitor for trends?</i>				
<i>Follow a veterinarian reviewed dewormer program? If yes:</i>				
<i>Document dewormer program?</i>				
<i>Have effectiveness of deworming policy tested regularly with fecal floats?</i>				
<i>Require all boarder/leases to comply with the deworming program?</i>				

Section B: Feed and Water Risk Factors

Do you:	YES / Always	Sometimes	NO / Never	Comments / Action Points
<i>Take measures to ensure that the main feed supply cannot be contaminated with manure?</i>				
<i>Restrict manure application to field crops?</i>				
<i>Take measures to limit exposure of feed supply to rodents, pets and/or wildlife?</i>				
<i>Clean and disinfect waters between horses or herds?</i>				
<i>Practice sanitation to minimize contamination of livestock waters by manure and/or urine?</i>				
<i>Is the source of livestock drinking water:</i>				
<i>Untreated surface water?</i>				
<i>Ground water?</i>				
<i>Treated surface water?</i>				
<i>Municipal water?</i>				



Section C: Owner and Employee Risk Factors

Do you:	YES / Always	Sometimes	NO / Never	Comments / Action Points
<i>Work with animals youngest to oldest?</i>				
<i>Work with horses from healthy to sick?</i>				
<i>Clean and disinfect equipment between animals or groups of animals housed separately?</i>				
<i>Use equipment for single purposes? E.g. shovel for manure, different one for clean bedding</i>				
<i>Change, disinfect boots or use disposable boot covers boots when working with neonate foals?</i>				
<i>Put on or change outerwear and footwear before working with horses in isolation, sick pens or quarantine?</i>				
<i>Change to clean outerwear and disinfect footwear after working with horses in isolation, sick pens or quarantine?</i>				
<i>Wear footwear and outerwear specific to that barn/stable/facility?</i>				
<i>Have access to or know where the barn/stable/facility is biosecurity protocols are documented?</i>				
<i>Understand and comply with the biosecurity protocols?</i>				

Section D: Facility User and Visitor Risk Factors

Do you:	YES / Always	Sometimes	NO / Never	Comments / Action Points
<i>Have a visitor log book in plain view of the main entrance that would be used by visitors?</i>				
<i>Require all visitors to sign the visitor log at each visit?</i>				
<i>Post biosecurity protocols in plain sight for visitors to read understand and follow?</i>				
<i>Have posted protocols that include a name and contact information for visitors to be directed to for clarification?</i>				
<i>Restrict visitors from entering the barn and outbuildings prior to contacting management?</i>				
<i>Provide hand washing stations?</i>				
<i>Provide visitors and farm service workers with clean boots, and/or outwear?</i>				
<i>Have a designated, signed parking area for visitors, visiting trailers and employees?</i>				
<i>Post a diagram of farm/barn layout clearly identifying access zones?</i>				
<i>Have access to or know where the barn/stable/facility is biosecurity protocols are documented?</i>				
<i>Understand and comply with the biosecurity protocols?</i>				

Section E: Premise Risk Factors

Do you:	YES / Always	Sometimes	NO / Never	Comments / Action Points
<i>Keep animals from different sites or zones separate at all times?</i>				
<i>Prevent uncontrolled pets from accessing barns and stalls?</i>				
<i>Return animals to the farm that have left the premise? If yes:</i>				
<i>Isolate those animals on return?</i>				
<i>Ensure they are fully vaccinated?</i>				
<i>Ensure any horses that may come into contact with them are compliant to the vaccine policy?</i>				
<i>Clean and disinfect truck and trailer after returning?</i>				
<i>Have regularly positioned and maintained hand washing stations?</i>				
<i>Soap and water?</i>				
<i>Waterless hand washing agents?</i>				
<i>Encourage hand washing between animal contacts?</i>				
<i>Make available and maintain boot washes?</i>				
<i>Near main entrances?</i>				
<i>Outside isolation stalls/pens?</i>				
<i>Outside quarantine stalls/pens?</i>				
<i>Outside foaling stalls/pens?</i>				
<i>Have a documented pest control program especially to limit flies in facilities and on horses?</i>				
<i>Identify one halter and lead rope per horse? If no:</i>				
<i>Limit halters and lead ropes to one group of animals?</i>				